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Applicant: Jei-Fu Shaw et al.

Art Unit : 1645

Serial No.: 09/943,857

Examiner: Unknown

11111 0.5 2002

Filed

: August 31, 2001

Title

: RECOMBINANT CANDIDA RUGOSA LIPASES

TECH CENTER 1600/2900

Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants disclosed the documents listed on the attached form PTO-1449, a copy of which are enclosed. Also enclosed is a copy of a European Search Report dated August 26, 2002, that was issued in the corresponding Application No. EP02009616. All of the disclosed documents were cited for the first time in the just-mentioned European Search Report.

This statement is being filed before the receipt of a first Office action on the merits and within three months of the date of the search report-mentioned above. Please apply any charges to Deposit Account No. 06-1050, referencing 08919-066001

Respectfully submitted,

9-23-02

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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JC Statistitute Form PTO-1449
(Modified)
Information

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 08919-066001

Application No. 09/943,857

Information Disclosure Statement **by Applicant** (Use several sheets if necessary)

Applicant Jei-Fu Shaw et al.

Filing Date

Group Art Unit

August 31, 2001

1645

| U.S. Patent Documents | | | | | | | |
|-----------------------|--------------|------------------|------------|----------|-------|----------|---|
| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | | | | | | |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | | |
|---|--------|----------|-------------|---------------|-------|----------|-------|--------|
| Examiner | Desig. | Document | Publication | Country or | | | Trans | lation |
| Initial | 1D | Number | Date | Patent Office | Class | Subclass | Yes | No |
| | AJ | | | | | | | |
| | AK | | | | | | | |
| | AL | 2 (2) | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | | | |
|---|--------|--|--|--|
| Examiner | Desig. | | | |
| Initial | ID | Document | | |
| | AO | Lilia Alberghina, et al. <i>Cloning, Sequencing, and Expression of <u>Candida rugosa</u> Lipases.</i> Methods in Enzymology 284:246-260, 1997. XP002067443. | | |
| | AP | Stefania Brocca, et al. Design, Total Synthesis, and functional overexpression of the <u>Candida</u> <u>rugosa lip1</u> gene coding for a major industrial lipase. Protein Science 7(6):1415-1422, 1998. XP00929163. | | |
| | AQ | Yoshiyuki Kawaguchi, et al. <i>The codon CUG is read as serine in an asporogenic yeast <u>Candida cylindracea</u>. 341(6238):164-166, September 1989. XP000084053.</i> | | |
| | AR | Shye-Jye Tang, et al. <i>Recombinant Expression of the <u>Candida rugosa lip4 Lipase in Escherichia</u> <u>coli</u>. Protein Expression and Purification 20:308-313, 2000. XP002204904.</i> | | |

| Examiner Signature | Date Considered |
|--|---|
| | |
| EXAMINER: Initials citation considered. Draw line next communication to applicant. | e through citation if not in conformance and not considered. Include copy of this form with |
| | Substitute Disclosure Form (PTO-1449) |